

**Solids Minerals Production and Royalty Report**  
Form MMS-4430

FOR MMS USE ONLY

**Customer Name:** \_\_\_\_\_  
**Customer Number:** \_\_\_\_\_  
**Customer Document ID:** \_\_\_\_\_  
**Mine Name:** \_\_\_\_\_

**Business Unit:**

(Circle one)  
Federal/State/Fee  
-or-  
Indian/State/Fee

**Payment Due:**

**Original Data Total:** \$ \_\_\_\_\_  
**Other Financial Obligation Total:** \_\_\_\_\_  
**Value & Volume Adjustment Total:** \_\_\_\_\_  
**Credit Amount:** < \_\_\_\_\_ > \_\_\_\_\_  
**GRAND TOTAL:** \_\_\_\_\_

**Payment Method** (check one):

\_\_\_\_\_ Electronic Funds Transfer  
\_\_\_\_\_ Check to MMS  
\_\_\_\_\_ Indian Direct Pay  
\_\_\_\_\_ Indian Lockbox

**Name of Preparer:** \_\_\_\_\_

**Date:** \_\_\_\_\_

**Signature of Preparer:** \_\_\_\_\_

**Phone Number:** \_\_\_\_\_

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**Paperwork Reduction Act of 1995 (PRA) Statement:** The PRA (44 U.S.C. 3501 et seq.) requires us to inform you that we collect this information to receive the basic lease-level volume and valuation information to start our compliance activities. The MMS uses the information to support MMS's compliance and asset management process. Responses are mandatory (43 U.S.C. 1334). Proprietary information is protected in accordance with standards established by the Federal Oil and Gas Royalty Management Act of 1982 (30 U.S.C. 1733), the Freedom of Information Act (5 U.S.C. 552(b)(4)), and the Department regulations (43 CFR 2). An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB Control Number. Public reporting burden for this form is estimated to average 30 minutes per response, including the time for reviewing instructions, gathering and maintaining data, and completing and reviewing the form. Direct comments regarding the burden estimate or any other aspect of this form to the Information Collection Clearance Officer, Minerals Management Service, Mail Stop 4230, 1849 C Street, NW, Washington, DC 20240.

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### Original Data Lease Level Detail

Federal or Indian Lease Number	Sales Month/ Year	Sales Point	Gross Proceeds	Allowed Transportation Cost	Allowed Processing Costs	Royalty Rate	Royalty Payment Due
			\$	\$	\$		\$
Product	Beginning Inventory	Inventory/ Volume Adjustment	Units Produced	Units Transferred	Production Available for Sale	Units Sold	Ending Inventory

Comments:

Federal or Indian Lease Number	Sales Month/ Year	Sales Point	Gross Proceeds	Allowed Transportation Cost	Allowed Processing Costs	Royalty Rate	Royalty Payment Due
			\$	\$	\$		\$
Product	Beginning Inventory	Inventory/ Volume Adjustment	Units Produced	Units Transferred	Production Available for Sale	Units Sold	Ending Inventory

Comments:

State Lease Number	Sales Month/ Year	Sales Point	Gross Proceeds	Allowed Transportation Cost	Allowed Processing Costs	Royalty Rate	Royalty Payment Due
			\$	\$	\$		\$
Product	Beginning Inventory	Inventory/ Volume Adjustment	Units Produced	Units Transferred	Production Available for Sale	Units Sold	Ending Inventory

Comments:

Fee Lease Number	Sales Month/ Year	Sales Point	Gross Proceeds	Allowed Transportation Cost	Allowed Processing Costs	Royalty Rate	Royalty Payment Due
			\$	\$	\$		\$
Product	Beginning Inventory	Inventory/ Volume Adjustment	Units Produced	Units Transferred	Production Available for Sale	Units Sold	Ending Inventory

Comments:

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## Summary and Other Financial Obligations

### Mine Data Summary:

Sales Month/Year	Product	Units Produced	Units Sold	Gross Proceeds	Total Allowed Cost	Royalty Payment
				\$	\$	\$
				\$	\$	\$
				\$	\$	\$
				\$	\$	\$
<b>Totals:</b>				\$	\$	\$

### Remote Data Summary:

Sales Month/Year	Product	Units Produced	Units Sold	Gross Proceeds	Total Allowed Cost	Royalty Payment
				\$	\$	\$
				\$	\$	\$
				\$	\$	\$
				\$	\$	\$
<b>Totals:</b>				\$	\$	\$

### Other Financial Obligations Detail:

*Other financial obligations are any payment obligations other than production royalty, e.g.; Recoupable Rent, Advance Royalty, Minimum Royalty, Deferred Bonuses, and Minimum Royalty payable in advance.*

Obligation Due Date (MMDDYY)	Lease Number	Obligation Type	Obligation Amount
			\$
			\$
			\$
			\$
			\$
			\$
<b>Total:</b>			\$

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## Value and Volume Adjustments

### Summary of Changes for the Mine

	Sales Month/Year	Product	MMS/Company Initiated?	Interest Bearing (Yes/No)	Interest From Date	Gross Proceeds Net Change	Transportation Cost Net Change	Processing Cost Net Change	Units Produced Net Change	Units Transferred Net Change	Units Sold Net Change	Federal or Indian Royalty Net Change
1						\$	\$	\$				\$
2						\$	\$	\$				\$
3						\$	\$	\$				\$
4						\$	\$	\$				\$
Totals:						\$	\$	\$				\$

Note: Totals for the mine (above) should equal totals for leases and properties (below).

### Above Changes for Mine Affect These Leases and Properties

	Sales Month/Year	Product	Federal or State Lease Number or Fee Property	Gross Proceeds Net Change	Transportation Cost Net Change	Processing Cost Net Change	Units Produced Net Change	Units Transferred Net Change	Units Sold Net Change	Federal or Indian Royalty Net Change
1				\$	\$	\$				\$
2				\$	\$	\$				\$
3				\$	\$	\$				\$
4				\$	\$	\$				\$
5				\$	\$	\$				\$
6				\$	\$	\$				\$
7				\$	\$	\$				\$
8				\$	\$	\$				\$
9				\$	\$	\$				\$
10				\$	\$	\$				\$
11				\$	\$	\$				\$
12				\$	\$	\$				\$
13				\$	\$	\$				\$
14				\$	\$	\$				\$
15				\$	\$	\$				\$
16				\$	\$	\$				\$
Totals:				\$	\$	\$				\$

### Do you have cascading changes?

Most changes to mine and lease volumes (lease production, transfers, sales) in one month will cause volume changes (to sales, transfers, lease allocations) in subsequent months (cascading effect). Do any of the volume changes reported above cause changes in mine or lease-level inventories or lease-level allocation ratios for subsequent months? (Yes/No) \_\_\_\_\_

If the answer is "Yes," prepare another Volume and Value Adjustment page for each affected month. In all likelihood, you will need to submit volume adjustments for all months after the month you reported changes for (above) through the most recent month for which you submitted original data.

# Solid Minerals Production and Royalty Report

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## Value and Volume Adjustments

### Summary of Changes for the Remote

	Sales Month/Year	Product	MMS/Company Initiated?	Interest Bearing (Yes/No)	Interest From Date	Gross Proceeds Net Change	Transportation Cost Net Change	Processing Cost Net Change	Units Produced Net Change	Units Transferred Net Change	Units Sold Net Change	Federal or Indian Royalty Net Change
1						\$	\$	\$				\$
2						\$	\$	\$				\$
3						\$	\$	\$				\$
4						\$	\$	\$				\$
<b>Totals:</b>						\$	\$	\$				\$

**Note:** Totals for the remote (above) should equal totals for leases and properties (below).

### Above Changes for Remote Affect These Leases and Properties

	Sales Month/Year	Product	Federal or State Lease Number or Fee Property	Gross Proceeds Net Change	Transportation Cost Net Change	Processing Cost Net Change	Units Produced Net Change	Units Transferred Net Change	Units Sold Net Change	Federal or Indian Royalty Net Change
1				\$	\$	\$				\$
2				\$	\$	\$				\$
3				\$	\$	\$				\$
4				\$	\$	\$				\$
5				\$	\$	\$				\$
6				\$	\$	\$				\$
7				\$	\$	\$				\$
8				\$	\$	\$				\$
9				\$	\$	\$				\$
10				\$	\$	\$				\$
11				\$	\$	\$				\$
12				\$	\$	\$				\$
13				\$	\$	\$				\$
14				\$	\$	\$				\$
15				\$	\$	\$				\$
16				\$	\$	\$				\$
<b>Totals:</b>				\$	\$	\$				\$

### Do you have cascading changes?

Most changes to remote and lease volumes (lease production, transfers, sales) in one month will cause volume changes (to sales, transfers, lease allocations) in subsequent months (cascading effect). Do any of the volume changes reported above cause changes in mine or lease-level inventories or lease-level allocation ratios for subsequent months? (Yes/No) \_\_\_\_\_

If the answer is "Yes," prepare another Volume and Value Adjustment page for each affected month. In all likelihood, you will need to submit volume adjustments for all months after the month you reported changes for (above) through the most recent month for which you submitted original data.

Instructions for submitting MMS-4430, Solid Minerals Production and Royalty Report are found in the Code of Federal Regulations:

**30 CFR § 210.20 (2003) How do I submit Form MMS-4430, Solid Minerals Production and Royalty Report?**

*(c) How to submit.*

(1) You must submit Form MMS-4430 electronically using our Internet reporting web site unless you meet the conditions in paragraph (c)(2). We will provide written instructions and a valid login and password before you begin reporting.

(2) You are not required to report electronically if you are a small business as defined by the U.S. Small Business Administration (13 CFR § 121.201) and you have no computer, no plans to purchase a computer, and no contract with an electronic reporting service.

(3) If you do not report electronically, you must submit the completed Form MMS-4430 to us at one of the following addresses, unless MMS publishes notice in the Federal Register giving a different address:

(i) For U.S. Postal Service regular mail or Express Mail: Minerals Management Service, Minerals Revenue Management, P.O. Box 5810, Denver, Colorado 80217-5810; or

(ii) For courier service or overnight mail (excluding Express Mail): Minerals Management Service, Minerals Revenue Management, Building 85, Denver Federal Center, Room A-614, Denver, Colorado 80225.